

APPENDIX A
MCDONALD PARTNERS LLC
BUSINESS CONTINUITY PLAN
SUMMARY DISCLOSURE STATEMENT

McDonald Partners LLC (“MDP”), pursuant to Financial Industry Regulatory Authority, Inc. (“FINRA”) rules, has created a Business Continuity Plan to address and guide our response to and recovery from Significant Business Disruptions (“SBDs”). We provide a summary of our Business Continuity Plan in this Summary Disclosure Statement. Copies of this Summary Disclosure Statement shall be given to all clients at the time of account opening with MDP. MDP’s Business Continuity Plan is subject to modification and is available to our clients upon request. Clients may obtain updated summaries from our website, www.mcdonald-partners.com, (“About Us”) or request a written copy by U.S. mail, fax (216-912-1461), or email to compliance@mcdonald-partners.com.

In all of the following SBD situations, MDP plans to continue conducting business. MDP’s planned recovery time, depending upon the time of occurrence, should not exceed 24 hours from the time of the declaration of the SBD. Staff, telephone calls, and emails will return to their original location upon full restoration of service.

Disruption to a single building or our Firm’s Main Office

If a disruption occurs at our permanent main office or branch offices, we will relocate to an alternate site listed in our Business Continuity Plan and forward calls to that site. MDP will have access to its clearing firm and its services at this alternate location.

Disruption to a business district

If a disruption occurs in our business district affecting our main office or branch offices, we will follow the procedures above. The alternate location will be outside of the affected business district.

City-wide business disruption

If a disruption occurs in a city-wide area that affects our main office or branch offices, we will follow the procedure above with respect to the forwarding of our company phones and will attempt to gain access to our clearing firm and its services from a location outside of the affected area.

Regional disruption

If a regional disruption occurs that affects our main office or branch offices, we will contact our clearing firm and mutually decide on how best to proceed. MDP clears its business through RBC Correspondent Services, 60 South Sixth Street, Minneapolis, MN 55402-4422.

MDP has also contracted with various entities to ensure that sensitive information is made redundant at back-up facilities. However, due to the nature of such information, we do not disclose the specific location of any back-up facilities, any proprietary information, or the parties with whom we have back-up arrangements.

Please call us at 866-899-2997 or locally at 216-912-0567 should you have any questions.

Thank you.

Beatty McDonald
CEO/CFO
McDonald Partners LLC